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05/04/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket
No. KLR: 1016.066First Inventor or Application
Identifier McCann et al.

Title FIBER OPTIC WAFER PROBE

Express Mail Label No. EL744771435US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231

1. *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification

Total pages 23

(preferred arrangement set forth below)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Federally Sponsored Research
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) (35 USC 113)

[Total Pages

7

4. Oath or Declaration

[Total Pages

2

a. Newly executed (original or copy)

b. Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 16 completed)

i. Deletion of Inventor(s)

Signed statement attached deleting inventor(s)
named in the prior application,
see 37 CFR §§1.53(d)(2) and 1.33(b)

* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28).

5. Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer readable copy
- b. Paper copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))

8. 37 CFR §3.73(b) Statement Power of Attorney
when there is an assignee

9. English translation document (if applicable)

10. Information Disclosure Statement (IDS) /PTO
1449 Copies of IDS Citations

11. Preliminary Amendment

12. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

13. *Small Entity Statements
(PTO/ sb/09-12) Statement filed in prior application.
Status still proper and desired.

14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. Other:

Our check

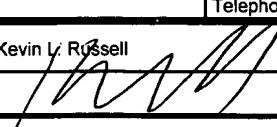
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

Continuation Divisional Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group No./Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert customer number or attach bar code label here)			<input checked="" type="checkbox"/> Correspondence address below	
Name	Kevin L. Russell				
Address	601 SW Second Ave., Suite 1600				
City	Portland	State	OR	Zip Code	97204-3157
Country	US	Telephone	(503)227-5631	FAX	(503)228-4373
Name (print type)	Kevin L. Russell			Registration No.	28,876
Signature				Date	May 4, 2001

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

				Complete If Known					
				Application Number					
				Filing Date		April 4, 2001			
				First Named Inventor		McCann et al.			
				Examiner Name					
				Group/ Art Unit					
TOTAL AMOUNT OF PAYMENT		\$1,438		Attorney Docket No.		KLR 1016.066			
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to: Deposit Account Number 03-1550 Deposit Account Name Chernoff Vilhauer McClung & Stenzel <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 & 1.17				3. ADDITIONAL FEES Large Entity Small Entity Fee Code Fee (\$) Fee Code Fee (\$) Fee Description Fee Paid 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge-late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1840* 113 1840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second month 117 890 217 445 Extension for reply within third month 118 1,390 218 695 Extension for reply within fourth month 128 1,890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Statement 581 40 581 40 Recording each patent assignment per property (times number of properties) 40 146 710 246 355 Filing a submission after final rejection (37 C.F.R. 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 C.F.R. 1.129(b)) 169 900 169 900 Request for Expedited Examination of a Design Application Other (specify)					
2. <input checked="" type="checkbox"/> Payment Enclosed		<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other							
FEE CALCULATION									
1. BASIC FILING FEE									
Large Entity Small Entity									
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid			
101	710	201	355	Utility filing fee		\$ 355			
106	320	206	160	Design filing fee					
107	490	207	245	Plant filing fee					
108	710	208	355	Reissue filing fee					
114	150	214	75	Provisional filing fee					
				SUBTOTAL (1)		\$355			
2. EXTRA CLAIM FEES									
				Fee from Extra Claims below	Fee Paid				
Total Claims	87	-20**	= 67	x 9	= 603				
Indep. Claims	11	- 3**	= 8	x 40	= 440				
Multiple Dependent					= 0				
*or number of previously paid, if greater. For reissues, see below.									
Large Entity Small Entity									
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description					
103	18	203	9	Claims in excess of 20					
102	80	202	40	Independent claims in excess of 3					
104	270	204	135	Multiple dependent claim, if not paid					
109	80	209	40	**Reissue independent claims over original patent					
110	18	210	9	*Reissue claims in excess of 20 and over original patent					
				SUBTOTAL (2)		\$1,043			
* Reduced by Basic Filing Fee Paid									
				SUBTOTAL (3) \$40					
SUBMITTED BY									
Name (print type)		Complete (if applicable)							
Signature						Registration No.		Telephone	(503) 227-5631
Signature						Date	May 4, 2001		

**CERTIFICATE OF MAILING
BY EXPRESS MAIL**

Express Mail No.: EL744771435US

Date of Deposit: May 4, 2001

I hereby certify that the patent application attached hereto entitled **FIBER OPTIC WAFER PROBE**, EMcCann et al., inventors, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.


Dwight Bergquist-Moody

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE UNDER 37 CFR §3.73(b)

Applicants: McCann et al.

Application No: N/A Filed: Concurrently herewith

Title: FIBER OPTIC WAFER PROBE

Cascade Microtech, Inc. (Assignee) an Oregon corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel ___, Frame ___, or for which a copy thereof is attached.

OR

B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ___, Frame ___, or for which a copy is attached.

2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel ___, Frame ___, or for which a copy is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached. (Where one or more of the documents is unrecorded.)

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

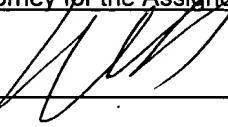
The undersigned (whose title is supplied below) is empowered to sign this Certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: May 4, 2001

Name: Kevin L. Russell

Title: Attorney for the Assignee (a copy of the Power of Attorney is attached)

Signature: 

Chernoff, Vilhauer, McClung & Stenzel, LLP
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